

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **914-1412-DV2**

First Inventor **Todd MCNEEL et al.**

Title **APPARATUS AND METHOD FOR MAKING  
STACKABLE TORTILLA CHIPS**

JC927 U.S. PTO  
09/998661

12/03/01

Assignee Information: **Recot, Inc., 5000 Hopyard Road, Suite 460, Pleasanton, California 94588**

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

ADDRESS TO: **Assistant Commissioner of Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status

3. ☒ Specification Total pages 14  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross references to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claims
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) Total sheets 09

5. ☒ Oath or Declaration Total pages 03

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

**[Note Box 5 below]**

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b)

6. ☒ Application Data Sheet

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program

8. ☐ Nucleotide and/or Amino Acid Sequence  
Submission

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement

(when there is an assignee)

☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement /PTO 1449

☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)

(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)

16. ☐ Request and Certification under 35 U.S.C.  
122(b)(2)(B)(i)

17. ☐ Other:

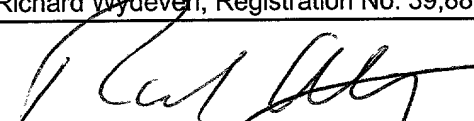
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet  
under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/499,042**

Prior application information: Examiner R. Madsen Group Art Unit 1761

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Customer Number or Bar Code Label: **6449**

ADDRESS	Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20004
COUNTRY	USA	TELEPHONE	202-783-6040	FAX	202-783-6031
NAME	Richard Wydevan, Registration No. 39,881				
SIGNATURE			DATE	DECEMBER 3, 2001	

<b>FEE TRANSMITTAL</b> <b>for FY 2001</b>  <b>(Large Entity)</b>	Complete if Known		
	Application Number	Divisional of Serial No. 09/499,042 filed February 7, 2000	
	Filing Date	December 3, 2001	
	First Named Inventor	Todd MCNEEL et al.	
	Group Art Unit	Unknown	
	Examiner Name	Unknown	
Total Amount of Payment (\$)	740.00	Attorney Docket Number	914-1412-DV2

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status
2. ☒ Payment Enclosed:
- ☒ Check
- ☐ Credit Card

**FEE CALCULATION**
**FILING FEE**

Fee Code	Fee Paid	Fee Description	Fee Paid
101	740	Utility Filing Fee	[ 740.00]
106	330	Design Filing Fee	[ ]
107	510	Plant Filing Fee	[ ]
108	740	Reissue Filing Fee	[ ]
114	160	Provisional Filing Fee	[ ]

**SUBTOTAL \$ 740.00**
**2. CLAIMS**

	Extra Claims	Fee	Fee Paid
Total Claims	3 - 20** = 0	x \$ 18.00 = [ 0.00]	
Independent Claims	1 - 3** = 0	x \$ 84.00 = [ 0.00]	
Multiple Dependent Claims		+ \$ 280.00 [ 0.00]	

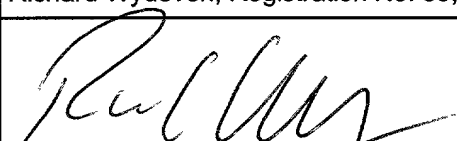
\*\*or number previously paid, if greater;

**SUBTOTAL \$ 0.00**
**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[ ]
127	50	Surcharge - late provisional filing fee or cover sheet	[ ]
139	130	Non-English specification	[ ]
147	2,520	For filing a request for reexamination	[ ]
112	920	Requesting publication of SIR prior to Examiner action	[ ]
113	1,840*	Requesting publication of SIR after Examiner action	[ ]
115	110	Extension for reply within first month	[ ]
116	400	Extension for reply within second month	[ ]
117	920	Extension for reply within third month	[ ]
118	1,440	Extension for reply within fourth month	[ ]
128	1,960	Extension for reply within fifth month	[ ]
119	320	Notice of Appeal	[ ]
120	320	Filing a brief in support of an appeal	[ ]
121	280	Request for Oral Hearing	[ ]
138	1,510	Petition to institute a public use proceeding	[ ]
140	110	Petition to revive -unavoidable	[ ]
141	1,280	Petition to revive - unintentional	[ ]
142	1,280	Utility issue fee (or reissue)	[ ]
143	460	Design issue fee	[ ]
144	620	Plant issue fee	[ ]
122	130	Petitions to the Commissioner	[ ]
123	50	Processing fee under 37 CFR 1.17(q)	[ ]
126	180	Submission of Information Disclosure Statement	[ ]
581	40	Recording each patent assignment per property (times number of properties)	[ ]
146	740	Filing a submission after final rejection (37 CFR.129(a))	[ ]
149	740	For each additional invention to be examined(37 CFR 1.129(b))	[ ]
179	740	Request for Continued Examination (RCE)	[ ]
169	900	Request for expedited examination of a design application	[ ]
195	300	Publication fee for early, voluntary, or normal publication	[ ]
196	300	Publication fee for republication	[ ]
089	200	Filing application for patent term adjustment	[ ]
090	400	Request for reinstatement of term reduced	[ ]
		Other fee (specify)	[ ]

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL \$ 0.00**

SUBMITTED BY:				Complete (if applicable)	
NAME AND REG. NUMBER	Richard Wydeven, Registration No. 39,881				
SIGNATURE		DATE	12/03/2001	DEPOSIT ACCOUNT USER ID	02-2135